1 Genomic signatures of selection associated with benzimidazole drug treatments

2 in *Haemonchus contortus* field populations

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25 ABSTRACT

26 Genome-wide methods offer a powerful approach to detect signatures of drug 27 selection in parasite populations in the field. However, their application to parasitic 28 nematodes has been limited because of both a lack of suitable reference genomes and the 29 difficulty of obtaining field populations with sufficiently well-defined drug selection 30 histories. Consequently, there is little information on the genomic signatures of drug 31 selection for parasitic nematodes in the field and on how best to detect them. This study was designed to address these knowledge gaps using field populations of *Haemonchus* 32 33 contortus with well-defined and contrasting benzimidazole-selection histories, 34 leveraging a recently completed chromosomal-scale reference genome assembly. We 35 generated a panel of 49,393 ddRADseq markers and used this resource to genotype 20 36 individual *H. contortus* adult worms from each of four *H. contortus* populations: two from 37 closed sheep flocks that had an approximately 20-year history of frequent treatment 38 exclusively with benzimidazole drugs, and two populations with a history of little or no 39 drug treatment. The populations were chosen from the same geographical region to limit population structure in order to maximize the sensitivity of the approach. A clear 40 signature of selection was detected on the left arm of chromosome I centered on the 41 42 isotype-1 β-tubulin gene in the benzimidazole-selected but not the unselected 43 populations. Two additional, but weaker, signatures of selection were detected; one near 44 the middle of chromosome I and one near the isotype-2 β-tubulin locus on chromosome 45 II. We examined genetic differentiation between populations, and nucleotide diversity and linkage disequilibrium within populations to define these two additional regions as 46 encompassing five genes and a single gene. We also compared the relative power of using 47 48 pooled versus individual worm sequence data to detect genomic selection signatures and

49 how sensitivity is impacted by sequencing depth, worm number, and population50 structure.

51 In summary, this study used *H. contortus* field populations with well-defined drug 52 selection histories to provide the first direct genome-wide evidence for any parasitic 53 nematode that the isotype-1 β -tubulin gene is the quantitatively most important 54 benzimidazole resistance locus. It also identified two additional genomic regions that 55 likely contain benzimidazole-resistance loci of secondary importance. Finally, this study 56 provides an experimental framework to maximize the power of genome-wide 57 approaches to detect signatures of selection driven by anthelmintic drug treatments in field populations of parasitic nematodes. 58

59 AUTHOR SUMMARY

60 Benzimidazoles are important anthelmintic drugs for human and animal parasitic nematode control with ~ 0.5 billion children at risk of infection treated annually 61 62 worldwide. Drug resistance is common in livestock parasites and a growing concern in 63 humans. *Haemonchus contortus* is the most important model parasite system used to study anthelmintic resistance and a significant livestock pathogen. It is also one of the few 64 65 parasitic nematodes with a chromosomal-scale genome assembly. We have undertaken genome-wide scans using a dense RADseq marker panel on worms from natural field 66 67 populations under differing levels of benzimidazole selection. We show that there is a 68 single predominant genomic signature of selection in *H. contortus* associated with 69 benzimidazole selection centred on the isotype-1 β-tubulin locus. We also identify two weaker signatures of selection indicative of secondary drug resistance loci. Additionally, 70 we assess the minimum data requirements for parameters including worm number, 71 72 sequence depth, marker density needed to detect the signatures of selection and compare 73 individual to Poolseq analysis. This work is the first genome-wide study in a parasitic 74 nematode to provide direct evidence of the isotype-1 β-tubulin locus being the single 75 predominant benzimidazole resistance locus and provides an experimental framework for future population genomic studies on anthelmintic resistance. 76

77 **1. INTRODUCTION**

78 Parasitic nematode infections are of major medical and agricultural importance 79 worldwide [1,2]. Control strategies largely depend on the regular use of anthelmintic 80 drugs, which has caused widespread anthelmintic drug resistance in many parasitic 81 nematode species of livestock [1,3]. Additionally, concerns are increasing that mass drug 82 administration programs are selecting for anthelmintic resistance in human helminths 83 [4–8]. Over the last 30 years, there has been a large amount of research into the molecular 84 genetic basis of anthelmintic resistance, particularly in gastrointestinal nematodes of 85 livestock [9]. Most of this work has been dominated by candidate gene studies, where 86 certain genes are prioritized based on knowledge of the drug mode-of-action [10–14] or 87 by the extrapolation of genetic studies in the model organism *Caenorhabditis elegans* 88 [15,16]. Whilst this approach has been successful in some cases, most notably for the 89 benzimidazole drug class, it has been much less successful in others [17]. For example, in 90 the case of the macrocyclic lactones, which is one of the most important broad spectrum 91 classes of anthelmintic drugs, the evidence implicating the leading candidate genes has 92 been inconsistent between studies [18-22].

93 Genome-wide approaches, which make no *a priori* assumptions about the underlying mechanisms of resistance, are potentially more powerful approaches to 94 95 identify anthelmintic resistance loci than candidate gene studies. The most successful 96 example in a helminth to date was the use of classical linkage mapping to identify a 97 Quantitative Trait Locus (QTL) for oxamniquine (OXA) resistance in the human 98 trematode Schistosoma mansoni [23]. Subsequent work confirmed the functional 99 importance of a mutation in a sulfotransferase enzyme (SmSULT-OR) gene to the OXA-100 resistant phenotype [23,24].

101 The small ruminant parasite *Haemonchus contortus* is the leading parasitic 102 nematode model for anthelmintic resistance research for a variety of reasons, including 103 the availability of well characterized anthelmintic resistant isolates, a good 104 understanding of its genetics, and the ability to undertake genetic crosses [9,25–27]. A 105 chromosomal-scale reference genome assembly and annotation enables genome-wide 106 approaches in this parasitic nematode species (Doyle et al 2020). This new reference 107 genome was successfully used to map the major ivermectin resistance QTL on 108 chromosome V in two independent laboratory passaged *H. contortus* strains by a serial 109 backcrossing approach [28,29]. However, such genetic crosses are extremely challenging 110 in parasitic nematodes compared to model organisms and have only been demonstrated 111 in a few parasite species [26,30]. An alternative way to map the genetic loci underlying 112 anthelmintic resistance is to use population genomic approaches on field populations. 113 This approach is not only technically simpler and more scalable but is also more likely to 114 identify anthelmintic resistance loci that are relevant to field populations.

115 As reference genomes for parasitic nematodes continue to improve, and DNA 116 sequencing costs reduce, population genomic studies on natural field populations should 117 become increasingly feasible. However, there is currently a lack of data from field 118 populations with sufficiently well-defined and contrasting drug treatment histories to 119 unambiguously link signals of selection in the genome with specific drug treatments. For 120 example, most *H. contortus* field populations have been subject to treatment with 121 multiple different drug classes and to significant animal movement. Consequently, there 122 is a need for studies on field populations with well-defined drug treatment histories to 123 elucidate the genomic location and nature of signatures of selection associated with 124 specific drug classes.

125 It is now well established that mutations in the isotype-1 β -tubulin gene are 126 important determinants of benzimidazole resistance in *H. contortus* and other strongylid 127 nematodes [10,31–36]. However, a key knowledge gap at present is whether the isotype-128 1 β-tubulin locus is the only major locus under selection by benzimidazole drug 129 treatments or whether there are additional selected loci and, if so, their relative 130 importance [37]. Interestingly, a recent study in which whole-genome sequencing was 131 applied to 233 individual adult *H. contortus* worms from field populations, with varied 132 and complex drug selection histories, identified multiple regions of the genome that 133 showed signatures of selection including around the isotype-1 β -tubulin locus [38]. 134 However, this study could not associate these signatures of selection with treatment by a 135 specific drug class as the populations used had a variety of complex drug treatment 136 histories. A major aim of our current study was to determine which loci in the *H. contortus* 137 genome show strong evidence of selection that are specifically associated with 138 benzimidazole selection in the field.

139 Another aim of this study was to take advantage of the clear and contrasting 140 benzimidazole drug selection histories of the *H. contortus* populations on government 141 and rural farms in Pakistan to investigate the best technical approach to detect genomic 142 signatures of drug selection in field populations. Reduced-representation sequencing 143 methods, where a random but consistent subset of the genome is sequenced, are 144 potentially powerful and cost-effective for genome-wide methods [39]. Larger numbers 145 of organisms and/or samples can be sequenced than by whole-genome sequencing at the 146 same cost, and while sequencing costs are dropping, cost is an important consideration 147 when examining larger sample sets, particularly for laboratories outside of the major 148 genome sequencing centres [40,41]. One of the more widely used reduced-representation 149 approaches is Restriction-site Associated DNA sequencing (RADseq) [42-44]. This approach has been extremely successful at investigating population diversity and
differentiation in a wide range of organisms [45–48] but it is still a challenge to get a highdensity marker set to identify signatures of selection [49–51].

153 Here, we describe the development of a high density RADseq marker-set, and its 154 use to investigate the genomic signature(s) of benzimidazole drug selection in H. *contortus* field populations. The strongest signature of benzimidazole drug selection, by 155 156 far, that was present in two populations with a history of regular benzimidazole 157 treatments, but absent in two untreated populations, was in the genomic region 158 surrounding the isotype-1 β-tubulin gene. Two other genomic regions under drug 159 selection were identified but the signals of selection at these loci were weaker. In 160 addition, we investigated the effect of sample number and read depth, and compared the 161 analysis of individual worm sequence data with pooled sequence data on the ability of 162 RADseq to detect genomic selection signatures in order to provide a framework for future 163 experimental design.

164

165 2. MATERIALS AND METHODS

166 **2.1 Parasite material**

167 Populations of adult *H. contortus* worms were harvested from four different sheep 168 flocks in the Punjab province of Pakistan [52]. Two populations were sampled from 169 government farm flocks with a history of regular BZ treatment over several decades; 170 Treated 1 (T1) from Okara and Treated 2 (T2) from Jahangirabad (T1 is Pop1S and T2 is 171 Pop3S in [52]). These government farms established their sheep flocks using local sheep 172 breeds (lohi and kajli) in 1985 and 1989 respectively and have been alternatively treated 173 with albendazole and oxfendazole, but not other anthelmintic classes, approximately every three months since their establishment. These herds have been closed to animal 174

movement since they were established. There has been some historical movement of stock between the farms, but no animals have been introduced from elsewhere. Two other populations were sampled from rural flocks with no history of drug treatment, from two abattoirs in the same region; Untreated 1 (U1) and Untreated 2 (U2). Worms were harvested directly from ewe abomasa at necropsy at abattoirs from sheep carcases following routine slaughter for human consumption and stored in 70% ethanol at -80°C.

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183 **2.2 DNA extraction**

184 Adult female worms were rehydrated in sterile water for 60 minutes prior to 185 extraction. Tissue was isolated from the anterior portion of the adult worm, avoiding the 186 gonads containing progeny, and DNA was extracted using a protocol adapted from Bennet 187 *et al.* [53]. After dissection of the animal, the anterior portion was transferred to 600 µl 188 lysis buffer (384 µl H2O, 60 µl 1M Tris HCl (pH 8.5), 60 µl 1% SDS, 60 µL 0.5M EDTA (pH 189 7.5), 12 μ l 5M NaCl, 12 μ l proteinase K (14-22 mg/mL, Qiagen), and 12 μ l β -190 mercaptoethanol). Samples were incubated overnight at 55°C before adding 2 µl of 191 RNaseA (100 mg/ml, Qiagen) and incubated for 15 min at 45°C. After adding 150 µl 5M 192 NaCl and 100 µl CTAB/NaCl samples were incubated at 65°C for 15 min. An equal volume 193 of phenol:chloroform:isoamyl alcohol (25:24:1, Sigma) was added and the solution was 194 incubated for 1.5 hr on a slow rocker at room temperature (RT). Samples were 195 centrifuged at 3000 g for 15 min at 12°C and the aqueous phase was collected. An equal 196 volume of chloroform: isoamyl alcohol (24:1, Sigma) was added to the aqueous phase and 197 the solution incubated for 1 hour at RT, centrifuged as before and the aqueous phase 198 collected. Two volumes of ice-cold ethanol were added and gently mixed. The solution 199 was placed at -20°C overnight before being centrifuged as before at 4°C. After removal of

the supernatant. the pellet was washed twice with 70% EtOH. After the final wash, the 200 201 sample was centrifuged at 14000 rpm for 10 min, the supernatant removed, centrifuged 202 for an additional minute to remove the remainder of the ethanol and the resulting pellet 203 air dried before resuspension in 30 µl water and incubation overnight at 4°C. Sample 204 quality was checked by quantification on the Qubit system (ThermoFisher) and A_{260}/A_{280} 205 absorbance ratios on a NanoVue (Biochrom Spectrophotometers). 2.5 µl per sample 206 (46.4-400 ng) was added to a REPLI-g Single Cell Kit (Qiagen) for whole genome 207 amplification (WGA) following the manufacturers protocol for Amplification of Purified 208 Genomic DNA.

209

210 2.3 Amplicon sequencing of isotype-1 β-tubulin and isotype-2 β-tubulin genes and 211 haplotype network analysis

212 Amplicon sequencing was used to determine the frequency of single nucleotide 213 polymorphisms (SNPs) in the isotype-1 and isotype-2 β-tubulin genes previously 214 associated with benzimidazole resistance in H. contortus - F200Y (TTC>TAC), F167Y 215 (TTC>TAC), E198A (GAA>GCA), or E198L (GAA>TTA) – in each population. The amplicon 216 sequencing protocol and data analysis has been previously described in Avramenko et al. 217 [54,55] and adapted for isotype-2 -tubulin with primers in **File S1**. For the haplotype 218 analysis of isotype-1 β -tubulin, reads classified as *H. contortus* by the Mothur [56] 219 pipeline in the amplicon data analysis were extracted from the original FASTQ files. 220 Primers were removed with Cutadapt [57], and haplotype analysis was conducted with 221 DADA2 [58,59], following their pipeline tutorial for demultiplexed Illumina paired-end 222 reads. Resulting amplicon sequence variants (ASVs) were used to create a haplotype 223 network using ape and pegas in R [60,61].

225 2.4 Preparation and sequencing of double-digest single worm RAD libraries

226 The single worm WGA samples were prepared for sequencing following a protocol 227 adapted from Peterson et al. (2012). Post-WGA samples were purified using a standard 228 bead clean-up protocol using AMPure XP beads (Beckman), with 1.5x beads instead of 229 1.8x sample:bead volume, and eluted in water. The DNA was digested with *MluC*I (5µl Cut 230 smart buffer, 1.5-2 µg DNA, 3 µl *MluC*I (New England Biolabs Inc. (NEB), and water to 231 make up 49 µl) for three hours at 37°C after which 3 µl *Nla*II (NEB) was added and the 232 reaction incubated at 37°C for a further three hours. The samples were cleaned as before. 233 Barcoded adapters were ligated in a 50 µl reaction volume, with 4 µl T4 buffer, 7.5 µl each 234 of adapter P1 and P2, 0.7 µl T4 ligase (2 M U/ml, NEB), 250 ng DNA (adapters in **File S1**) 235 and water. Libraries from individual worms of different populations were randomized to 236 create pools containing 20 individuals each for sequencing, cleaned as before, and size-237 selected on a PippinPrep (Sage Science) using the standard protocol for Tight Range (190 238 bp) on a 3% internal standards cartridge, resulting in a fragment range of 190-300 bp 239 with a peak at 210 bp. Quality and fragment size was checked on a Tape Station (Agilent), 240 and P5 and P7 adapters were ligated per pool following the KAPA HiFi Hotstart PCR kit 241 standard protocol (Kapa Biosystems). Pooled samples were then combined into one 242 sample and cleaned as before. Because the fragments ranged in size, quantity was 243 checked in triplicate using a Qubit broad range kit, rather than a gPCR approach, and 244 diluted to 4 nM after correction for average size.

The pooled individual worm libraries were sequenced on the Illumina NextSeq platform. Populations U1, U2, T1, and T2 were sequenced on one High Output 2 x 75 bp kit. The U2 population was discarded after only 20.22 - 53.54 % of its reads were mapped to the reference with the remainder being bacterial contamination. Consequently, the U2

249 population was re-sequenced using a Mid Output 2 x 75 bp kit. The loading concentration

250 was 1.2 pM, and 50% PhiX was spiked in for diversity due to the low diversity library.

Fragment-size selection was based on predicted fragment recovery with the draft genome assembly (GCA_000469685.1 [62]) using SimRAD [63].

253

254 2.5 Quality Control and Analysis of Sequence Data

255 The data were demultiplexed using process radtags in the Stacks pipeline [64] 256 (SRA accession number PRIN822658). All samples were assessed for general sequence 257 quality using FastQC [65], before removing adapters using Cutadapt [57]. Read quality 258 was high across the full read length for both sequencing runs; therefore, no hard 259 trimming was conducted. Reads were aligned against the chromosomal-scale *H. contortus* 260 reference genome assembly (GCA 000469685.2, N50 = 47.38 Mb, N50(n) = 3, n = 7 [66]) 261 using Bowtie2 (--local --very-sensitive-local -X 300 -I 80 [67]). A BAM file was generated 262 for each sample using Samtools by filtering for paired reads only and then sorting [68]. 263 The sorted data was analyzed using gstacks and populations using the –fstats flag from 264 the Stacks pipeline. Only SNPs that were present in three of four populations, in 50% of 265 individuals per population, and at a minimum frequency of 5% were retained in the 266 output. In addition, only the first SNP per RAD locus was reported to avoid linkage and 267 variable SNP count within a locus to affect the interpretation of the data.

To assess the robustness of the RADseq analysis and investigate the limits to its ability to detect signatures of selection, additional analyses were conducted using the same basic parameters but fewer individual worms per population (5, 10, and 15, randomly selected), as well as fewer reads per individual (1M, 1.5M, and 2M reads where possible, randomly selected using seqtk sample). Finally, the raw reads were realigned to an earlier version of the reference genome (GCA_000469685.1, N50 = 0.099 Mb, N50(n) = 876, n= 12,915 [62]), to illustrate the effect of genome quality on identifying regions of
interest in the genome.

276

277 2.6 Population differentiation, expected heterozygosity, and linkage 278 disequilibrium analyses to detect signatures of selection

All analyses were performed on data generated by Stacks (version 2.2), reporting one SNP per RAD-locus, unless otherwise stated. To examine overall population differentiation, population level F_{ST} values were calculated and a Principal Component Analysis was conducted on all reported SNPs across the populations using the vcfR (version 1.8.0) and adegenet (version 2.1.1) packages in R [69,70].

284 To detect regions in the genome where population differentiation was 285 significantly higher than background noise, we used a top candidate method as described 286 in Yeaman et al. [71]. The 99th percentile of all F_{ST} values was determined and F_{ST} values 287 were then binned over 150 kb intervals. Top candidate regions were identified as bins 288 with an exceptional proportion of their total SNPs being F_{ST} outliers based on a binomial 289 distribution. For expected heterozygosity, a similar approach was undertaken. Outliers 290 were defined as expected heterozygosity values falling in the lowest 25th percentile and 291 then binned across 150 kb regions. Top candidate bins were identified as those having a 292 higher than expected proportion of low expected heterozygosity based on a binomial 293 distribution. Linkage disequilibrium per population was calculated in R using the cor() 294 function with "pairwise.complete.obs". These values were binned across 150 kb regions and the percentage of R² values above the 99th percentile of all R²'s per population was 295 296 recorded.

297 **2.7 Analysis of mock pooled sequence data**

298 All RADseq data from individual worms per population were pooled to create 299 mock pooled sequence data. Data were pooled after demultiplexing with process radtags, 300 as these RAD-specific barcodes would not be present in Illumina sequence data of pooled 301 samples. Samples were then aligned with Bowtie2 as above. The resulting aligned files 302 were subsampled randomly using seqtk, resulting in $100 \times$ genome coverage. For 303 analyses of individual samples, average expected heterozygosity was determined for one 304 SNP per RAD-locus, therefore bedtools was used to concatenate SNPs within 150 bp of 305 each other to one marker for pooled samples [72,73]. These subsamples were analyzed 306 using PoPoolation Variance-sliding.pl (Variance-sliding.pl --measure pi --min-count 2 --307 min-qual 20 --min-coverage 15 --max-coverage 400 --pool-size 20 --window-size 1 --308 step-size 1) and Popoolation2 snp-frequency-diff.pl (snp-frequency-diff.pl --min-count 3 309 --min-coverage 15 --max-coverage 400 [74,75], generating Tajima's Pi and F_{ST} statistics. 310

311 **2.8 Detecting genes in genomic regions under selection**

312 All genes and corresponding proteins within the genomic regions showing 313 consistent signatures of selection in the treated populations across multiple estimates 314 extracted from the file were gene annotation 315 haemonchus contortus.PRJEB506.WBPS14.annotations.gff3 and FASTA file the 316 haemonchus_contortus.PRJEB506.WBPS14.protein.fa. Potential causal variation in these 317 genes would not necessarily be identified in RAD-data as a result of limited sequence 318 coverage of the region. Therefore functional annotation of the selected genes was 319 performed with InterPro version 5.35-74.0 and Pfam database version 32.0 320 (interproscan.sh -i [input_protein.fa] -d out -dp -t p --goterms -appl Pfam -f tsv).

321

322 **2.9 Data availability.**

All samples for the ddRADseq project are collected under the BioProject accessionnumber PRJNA822658.

325

326 **3. RESULTS**

327

328 **3.1 Developing a panel of genome-wide RADseq markers for** *H. contortus*

329 Genome-wide RADseq markers were generated by digestion of the genome with 330 *MluCl* and *Nla*II, fragment size selection of 190-300 bp (peak at 210 bp), and sequencing 331 on an Illumina NextSeq (2x75bp). On average, 77.7% of the reads mapped to the 332 reference genome sequence (max: 81.4%, min: 60.2%, median: 78.6%). Of the mapped 333 reads, 77.6% passed the paired-read filter providing an overall average total of 60.33% 334 of raw reads for further analysis using Stacks [64] number of reads kept: average = 335 3,337,301, median = 3,186,605, min = 1,283,698, max = 5,501,942). We kept only those 336 markers present in 50% of individuals per population and in all three populations (75.4%) 337 of all primary alignments). Average coverage and marker density for all comparisons are 338 shown in **Table 1** and **Fig. S1**. With baseline parameters, the range of reads per individual 339 was 4.4X-14.2X coverage.

Dataset	Average coverage	Marker count		
	per marker			
Mapping to reference genome:				
GCA_000469685.2				
Baseline (50% individuals, 3 populations)	9.1	49,393		
Stringent (75% individuals, 3 populations)	9.1	26,269		
Mapping to reference genome:				
GCA_000469685.1				
Baseline (50% individuals, 3 populations)	9.8	42,987		
Data Subsets (50% individuals, 3 populations)				
Population size = 15	9.0	45,226		
Population size = 10	9.4	49,687		
Population size = 5	9.0	52,532		
Read depth = 2.0M/sample	7.4	42,818		
Read depth = 1.5M/sample	6.3	37,432		
Read depth = 1.0M/sample	5.0	30,136		
Read depth = 0.5M/sample	3.3	18,283		

Read depth = 0.25M/sample	2.3	7,769
Read depth = 0.1M/sample	1.6	1,666

341

342 Table 1. Overview of coverage and average marker recovery across different analysis parameters and343 data subsets.

344

345 **3.2 Population-wide genetic diversity and isotype-1** β-tubulin resistance allele 346 frequencies

347 Genome-wide pairwise F_{ST} was low between all populations, based on population 348 differentiation across 43,587-48,227 markers (Table 2). Population differentiation was 349 highest in the pairwise comparisons involving population U2 (Table 2). PCA illustrated 350 the genetic similarity of the two drug-treated populations (T1 and T2), with some overlap 351 with U1 but not U2, consistent with the F_{ST} results (Fig. 1B). Removing variation on 352 chromosome I (which contains the isotype-1 β-tubulin gene) decreases differentiation 353 between U1 and T1 and T2 (Fig. 1C). Although U2 was collected in the Punjab province, 354 it was collected in Sargodha, about 200 km from Lahore, where the other populations 355 were collected. This distance potentially explains the slightly increased levels of 356 differentiation between U2 and the other populations (Fig. 1A).

Of the isotype-1 β-tubulin SNPs previously associated with BZ resistance in *H. contortus*, only 200Y (TTC > T<u>A</u>C) was detected in the populations after genotyping by amplicon sequencing. The frequencies of F200Y in the U1, U2, T1, and T2 populations were 2.5%, 12.5%, 100%, and 92.5%, respectively, consistent with their drug treatment history and indicative of their benzimidazole-resistance status (**Fig. 1A inset**). The most common resistance isotype-1 β-tubulin haplotypes are present at high frequency in both T1 and T2 populations (**Fig. 1D**). The isotype-2 β-tubulin gene was also genotyped by

- 364 amplicon sequencing for all individual worms to identify potential candidate resistance
- 365 mutations at codons 167, 198, and 200. All worms were homozygous for "susceptible
- alleles" (198E, and 200F), except for one worm in population U1 which was heterozygous

367 for a F200Y (TTC > T<u>A</u>C) allele.

Population T1	T2	U1	U2
T1	0.023	0.031	0.047
Τ2		0.033	0.046
U1			0.037



369



370

Fig. 1. A) Sampling location, inset shows the percentage of P200Y mutations of the isotype-1 β-tubulin
locus. B) PCA plot comparing SNP data of the four populations. C) PCA plot comparing SNP data of the four
populations without chromosome I. D) Haplotype network of amplified sequence variants (ASVs) of the
isotype-1 beta-tubulin locus amplicon data.

376 **3.3 The major region of genetic differentiation between treated and non-treated**

377 populations centers around the isotype-1 β-tubulin locus on chromosome I

378 All four pairwise genome-wide comparisons between a treated and an untreated 379 population showed a major region of elevated F_{ST} on chromosome I, centered around the 380 isotype-1 β-tubulin locus (chromosome I, around 7.0Mb, **Fig. 2A**). The size of this region depended on the particular pairwise comparison but was between 3.5 and 10 Mb. 381 382 Pairwise comparisons between either the two treated or two untreated populations did 383 not have an elevated F_{ST} in this region (Fig. 2A). In addition, a weaker region of elevated 384 F_{ST} was centred on the isotype-2 β -tubulin locus for the T2-U1 and T2-U2 but not the T1-385 U1 and T1-U2 pairwise comparisons.







Fig. 2. A) Genome-wide pairwise F_{ST} B) Genome-wide expected heterozygosity estimates per population.
Chromosomes are indicated by different colors and ordered from I to V with X on the far right in green.
Bolded data points represent loci with an F_{ST} significantly different from background noise, as described by

the top candidate test [71] and the Materials and Methods. The blue lines indicate the location of isotype-1
β-tubulin on chromosome I, isotype-2 β-tubulin on chromosome II, and isotype-3 and 4 β-tubulin on
chromosome X. The solid line in B is the rolling average across 500 RAD loci.

394

395 3.4 Patterns of expected heterozygosity and linkage disequilibrium indicate a
 396 strong signature of selection around the isotype-1 β-tubulin locus and identify a
 397 second locus under selection on chromosome I

398 In the treated but not the untreated populations, the region surrounding the 399 isotype-1 β-tubulin gene, which is located at 7,027,095 Mb on chromosome I, has distinctly reduced expected heterozygosity (position range 5.708.200 Mb - 8.113.930 400 401 Mb) and elevated linkage disequilibrium (position range 6,075,000 Mb – 8,325,000 Mb) 402 (Fig. 2B and Fig. 3). There is a second region on chromosome 1 with a distinct decrease 403 in expected heterozygosity (position range 26,568,594 Mb - 26,676,298 Mb) and an 404 elevated linkage disequilibrium (position range 26,025,000 Mb - 26,625,000 Mb) (Fig. 3). 405 A third region on chromosome 2 has a region of reduced expected heterozygosity in 406 treated population T2 (position range 13,221,871 Mb - 13,270,135 Mb) close to the 407 isotype-2 β-tubulin gene (which is located at 13,433,296 Mb) (Fig. 2B). Linkage 408 disequilibrium around the third region is not elevated (Fig. S2).

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410 Fig. 3. Chromosome I expected heterozygosity (Exp het), and linkage disequilibrium (R² A) Population T1
411 B) Population T2 C) Population U1, and D) Population U2. Bolded data points represent loci significantly
412 different from background noise, as described by the top candidate test [71] and the Materials and Methods.
413 Opaque points and lines indicate non-significant loci. The two dashed lines indicate isotype-1 β-tubulin
414 (left, around 7027095 Mb) and the second locus on chromosome I (right, around 26596797 Mb). The solid
415 line in expected heterozygosity plots is the rolling average across 500 RAD-loci.

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409

417 3.5 Effect of sequencing depth, sample size, population structure, and genome 418 assembly quality on the signature of selection detected by F_{ST}

We investigated the amount of RADseq data required to detect the signature of selection, as measured by the elevation in F_{ST} by randomly sub-sampling the dataset. As expected, decreasing the numbers of individuals per population in the analysis decreases the signal of elevated F_{ST} around the isotype-1 β -tubulin gene. However, the signal remains detectable even when data from as few as five individual worms are included in the analysis, especially for the comparisons between a treated population (T1 or T2) and the U1 untreated population (**Fig. 4A**, **Fig. S3**). Decreasing the number of reads per individual only minimally affected the elevation of F_{ST} around the isotype-1 β-tubulin locus until the coverage drops below 3.3X (read depth 0.5M), particularly in comparisons with the more genetically divergent U2 population (**Table 1, Fig. 4B, Fig. S4**).

429

430 **3.6** Gene models within the regions defined by the signals of selection in 431 populations T1 and T2.

432 With the chromosomal-scale reference genome, the RADseq data was used to 433 detect genomic regions with signatures of selection shared by the treated populations. 434 With the gene annotations that accompany this genome, we further explored these 435 regions for genes related to BZ resistance. We selected three regions of interest based on 436 the results of the four estimates. The narrowest ranges for these three regions, based on 437 an intersection of the detected ranges in all comparisons are (1) chromosome I: 438 6,450,000-8,113,930 Mb; (2) chromosome I: 26,568,594-26,625,000 Mb; and (3) 439 chromosome II: 13,221,871-13,270,135 Mb. In total, these regions contain 161, five, and 440 one gene(s), respectively (**S2 File**). As discussed, region 1 contains isotype-1 β-tubulin 441 (HCON_00005260). Region 2 contains five genes; HCON_00018620, HCON_00018630, 442 HCON_00018640, HCON_00018650, and HCON_00018660. Region 3, which is based on 443 diversity estimates only, contains a single gene (HCON 00043570) which is predicted to 444 be a hyaluronidase.

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446 Fig. 4. F_{ST} analysis between T1 and U1. Each dot represents a variable locus between the paired 447 populations, solid colors represent loci with an F_{ST} significantly above background noise, as described by 448 the top candidate method [71] A) F_{ST} estimates across chromosome I with decreasing numbers of 449 individuals per population. 20*; stringent, variants are called when represented in 75% of individuals per 450 population. 20, 15, 10 and 5; variant data present for 50% of the individuals per population, 20-15-10-5 B) 451 F_{ST} estimates across chromosome I for decreasing numbers of reads per individual sample. Variant data 452 had to be present in 50% of the individuals per population, but only the indicated number of reads was 453 used per sample. Read depth indicated under read count.

454

455 **3.7 Ability to detect signatures of selection using pooled sequence analysis**

We compared the ability of a pool-seq approach to detect the signature of selection
to that of the single worm approach by pooling the individual worm RADseq data for each
population. Using Popoolation2, 32,234 SNPs were identified as differential in at least one
pairwise population comparison (Fig. 5C, Fig. S5, [74]). These pairwise comparisons
show a peak in F_{ST} around the isotype-1 β-tubulin gene, especially for comparisons

between both treated populations and U1, with a less clear peak in the comparison with U2. Diversity (π) was determined with all available SNP data, with 27,719-113,917 estimates across the genome. These loci were then analysed with Popoolation using the loci as a gene map, with 5,764-14,156 π estimates per population. None of the regions under selection as detected with individual samples were identified with diversity estimates in the pooled analysis (**Fig. S6**).



468 Fig. 5. Pairwise F_{ST} analysis between pooled treated and untreated populations. Each dot represents a
469 variable locus between the paired populations, solid colors represent loci with a F_{ST} estimate, as described
470 by the top candidate method [71]. The dashed blue line indicates the position of isotype-1 beta-tubulin.

471 **4. DISCUSSION**

472 The small ruminant gastrointestinal parasite *H. contortus* is the leading parasitic 473 nematode model used for anthelmintic resistance research [9,76]. The primary aim of 474 this study was to investigate the number, genomic location(s), and characteristics of the 475 signatures of selection specifically associated with long-term routine use of 476 benzimidazole drugs in *H. contortus* in the field. The widespread use of anthelmintic 477 drugs in livestock, together with high parasite migration as a result of animal movement, 478 makes it difficult to find *H. contortus* populations that have either been subject to 479 selection with a single drug class or have not been exposed to drug selection at all. 480 However, our previous work identified two *H. contortus* populations from government 481 farms in Pakistan (designated T1 and T2 in this paper) that have been subjected to 482 intensive selection pressure by benzimidazoles over many years, but not to selection by 483 other drug classes [52]. In the present work we have compared these with two H. 484 *contortus* populations from rural sheep flocks from the same region (designated U1 and 485 U2 in this paper) that are likely to have had minimal exposure to drug selection [52]. 486 Amplicon sequencing confirmed a high frequency of the previously known F200Y 487 isotype-1 β-tubulin benzimidazole resistance mutation in the two selected populations 488 (T1 and T2) and a very low frequency in the two unselected populations (U1 and U2), 489 consistent with the respective drug selection histories of the populations (Fig. 1A). 490 Genome-wide pairwise F_{ST} analysis of 43,587-48,227 RADseq markers confirmed low 491 levels of genetic differentiation between the four populations with U2 being the most 492 differentiated. Consequently, these populations were particularly suitable to investigate 493 the number, genomic location(s) and characteristics of the signatures of selection 494 specifically associated with long-term routine use of benzimidazole drugs in the field. In 495 this paper, we have presented a reduced representation genome-wide approach, in which 496 a large panel of RADseq markers were mapped to the recently completed *H. contortus* 497 chromosomal-scale genome assembly, to identify the main genetic loci under selection by 498 long term use of benzimidazoles these *H. contortus* field populations (Doyle et al., 2020). 499 A single worm genotyping approach was chosen, together with study populations from 500 the same geographical region and minimal genetic differentiation, to maximize sensitivity 501 for detecting signatures of selection in parasite field populations. This experimental 502 design also allowed us to sub-sample in different ways and pool our data in order to 503 investigate how the sensitivity of signal detection varied in terms of sample number and 504 read depth.

505

4.1 The major genomic signature of selection associated with benzimidazole
selection in two *H. contortus* field populations surrounds the isotype-1 β-tubulin
locus

509 There is a substantive body of work indicating the importance of a number of non-510 synonymous mutations in the isotype-1 β -tubulin gene in benzimidazole resistance in *H*. 511 contortus and related ruminant gastrointestinal nematodes of the superfamily 512 trichostrongyloidea [reviewed in 8,77]. This work includes studies that show evidence of 513 selection and high frequencies of codon F167Y, E198A, E198L, and F200Y mutations in 514 benzimidazole resistant parasite populations, and a recent CRISPR/CAS9 reverse genetic 515 study showed that these specific substitutions in the *C. elegans ben-1* β-tubulin gene are 516 sufficient to confer benzimidazole resistance without a fitness cost [78]. There is also 517 evidence to suggest that, although these isotype-1 β -tubulin gene mutations are 518 important determinants of benzimidazole resistance, other loci may also play a role. For 519 example, in *H. contortus*, deletion of isotype-2 β-tubulin gene [31] and increased levels of 520 benzimidazole glycosidation due to increased UDP-glucuronosyltransferase (UGT)

521 expression [79] have been suggested to contribute to resistance. In addition, in *C. elegans*, a QTL on chromosome IV that does not map to the *ben-1* locus, has been shown to underlie 522 523 the benzimidazole resistance phenotype of some field populations [80], as well as a QTL 524 on the X chromosome [37]. Whole genome-sequencing of adult *H. contortus* worms from 525 different regions of the world confirms historical selection around the isotype-1 β -tubulin 526 locus in addition to many other loci with signatures of selection [38]. Consequently, 527 although isotype-1 β-tubulin gene mutations are clearly important causes of 528 benzimidazole resistance in *H. contortus*, their relative importance with respect to other 529 genetic loci remains a major knowledge gap.

The genome-wide data presented here revealed that the dominant signal of 530 531 selection for the two different benzimidazole selected *H. contortus* field populations (T1 532 and T2) was centred on the isotype-1 β -tubulin locus on the left arm of chromosome I. No 533 such signal was present in the two populations with a history of little or no benzimidazole selection (U1 and U2). The selection signal is clearly defined by a region of elevated F_{ST} in 534 535 pairwise comparisons of the two treated with the two non-treated populations and by 536 reduced expected heterozygosity and elevated linkage disequilibrium in the selected but 537 not the unselected populations. The region of elevated F_{ST} extends across a broad region of the left arm of chromosome I (1,663,419 – 11,549,932) but the regions of reduced 538 nucleotide diversity and elevated linkage disequilibrium are much narrower (π : 539 540 5,708,200 – 8,113,930; LD: 6,450,000 – 8,325,000). The isotype-1 β-tubulin gene is 541 located centrally in these regions around position 7,027,095. Overall, these results 542 strongly suggest that the isotype-1 β -tubulin gene is the single most important 543 benzimidazole resistance locus in the *H. contortus* genome of field populations on both 544 government farms examined in this study.

545 The T1 and T2 *H. contortus* populations may not be completely independent since 546 the flocks were founded by animals from the same region 30 years ago and there may 547 have been some historical animal movement between the farms during the intervening 548 period [52]. Interestingly, the same major isotype-1 β-tubulin resistance haplotypes were 549 detected by short-read amplicon sequencing (Fig. 1D). Also, pairwise F_{ST} values of the 550 RADseq markers surrounding the isotype-1 β-tubulin locus were not elevated in pairwise 551 comparisons between the T1 and T2 populations. Together these suggest the same 552 resistance alleles are present in the two populations and so could have common origins. 553 Nevertheless, these two populations have been independently selected by benzimidazole 554 treatment for around 30 years and our data reveals the region surrounding the isotype-555 1 β -tubulin locus is the most strongly selected in both cases.

556

4.2 Additional genomic regions show signatures of selection after benzimidazole treatments

559 In addition to the predominant selection signature surrounding the isotype-1 β-560 tubulin locus in both the T1 and T2 populations, the ddRADseq genome-wide scans 561 detected at least one other region of interest towards the middle of chromosome I (26,568,594 – 26,625,000). This region had a clear signature of selection – as defined by 562 563 increased population differentiation, reduction in nucleotide diversity and elevated 564 linkage disequilibrium – in the treated but not the untreated populations (Fig. 2, Fig. 565 **3A**,**B**). This signal is much narrower than that surrounding the isotype-1 β-tubulin locus 566 but is clearly defined by three different measures of selection in both treated populations 567 and not in the two untreated populations. The region encompasses only five genes and 568 may contain a novel drug resistance locus, albeit likely of secondary importance to the 569 isotype-1 β -tubulin gene. Gene ontology revealed no specific functions for the five genes identified in the most narrow region. But a gene (HCON_00018690) with an ABC transporter domain is located close to this region (26,693,160-26,714,246 Mb). Deletion or increased expression of ABC transporters have previously been associated with anthelmintic drug susceptibility or resistance in nematodes, respectively [81,82].

574 A second region of potential interest is a small region encompassing one gene on 575 chromosome II (Fig. 2; region: 13,221,871 – 13,270,135). This region is one bin removed 576 from the bin containing isotype-2 β -tubulin (13,433,296 Mb) and was marked by elevated F_{ST} between both the treated T1 and T2 isolates and U1, but not U2 (**Fig. 2A**). Additionally, 577 578 this region has reduced nucleotide diversity in T2, but not the other populations (Fig. 579 **2B**). Short-read amplicon sequencing of a region encompassing codons 167, 198 and 200 580 of the isotype-2 β-tubulin gene in the T1 and T2 populations did not detect F167Y, E198A, 581 E198L or F200Y candidate resistance mutation in this gene. As a result, the potential role 582 of variation in the isotype-2 β -tubulin gene with regards to resistance in these 583 populations remains unclear.

584

585 **4.3 ddRADseq marker panel development for genome-wide analysis**

586 In this work we explored the utility of a reduced representation genotyping 587 approach, ddRADseq, for detecting signatures of drug selection in a parasitic nematode 588 genome because of its potential cost effectiveness in large scale field studies, even for 589 laboratories with relatively limited budgets. The challenges and opportunities of RADseq 590 to detect loci associated with adaptation have recently been discussed extensively [83-591 86]. The extent of linkage disequilibrium (LD), the availability of a reference genome, and 592 the resulting marker density needed for detection of adaptation are the main challenges 593 to address. For this study, we generated ddRADseq data from 20 individual worms from 594 each of four populations, following whole genome amplification.

595 To date, most published applications of ddRADseq on metazoan organisms have 596 involved relatively small marker panels (up to 1 marker per \sim 20,900 kb according to a 597 recent review of SNP recovery in aquaculture species [87]) and have successfully been 598 used to study population structure. With regards to genome-wide scans to look for 599 signatures of selection, a major challenge is to develop sufficiently dense marker panels 600 across the genome. For most non-model organisms the extent of LD is unknown, 601 complicating the prediction of the number of markers needed to detect signatures of 602 selection. Another challenge is allelic dropout, which occurs as a result of restriction site 603 heterogeneity, and limits the number of recovered loci that are shared between 604 populations [88,89]. For *H. contortus*, allelic dropout is likely as a result of of its extremely 605 high levels of genetic diversity (reviewed in Gilleard and Redman [90]). We performed an 606 in silico digestion with the previously published draft reference genome ([62], 607 GCA 000469685.1) to choose a combination of restriction enzymes and fragment sizes to 608 produce a panel of \sim 50k markers that we could recover from at least three of the four 609 populations. At an average of 1 marker per 3kb this represents one of the densest ddRADseq marker sets produced for a metazoan organism to date. Two recent papers 610 611 have described RADseq SNP marker panels for *H. contortus* with 2,667 [91] and 82,271 612 [92] markers, respectively. Although the latter study reported a large number of SNPs, 613 this is not comparable to the present study because they reported multiple SNPs per 614 RADseq marker and genotyped pooled genomic DNA to study genetic diversity within 615 and between five populations from different countries.

616 **4.4. Considerations to optimize genome-wide approaches to identify signatures of**

617 selection in parasitic nematode field populations

618 Our study and methodology was designed to maximize the sensitivity of detection 619 of the genomic signals of selection associated with benzimidazole treatments of H. 620 contortus in the field. The single worm ddRADseq dataset we generated comprised an 621 average sequence depth of >9 reads per marker per sample which we examined in a 622 number of ways to investigate how the sensitivity of detection, based on pairwise F_{ST} 623 analysis and expected heterozygosity, was impacted by the number of individuals, total 624 read depth and reference genome quality. We also investigated the ability of pooled 625 sequence data to detect the signals.

626 *H. contortus* has substantial population structure between countries and a low but 627 discernible population structure within countries [reviewed by 93]. The identification of 628 genomic signatures of selection in specific regions of the genome can be confounded by population structure [94]. Consequently, the four study populations were taken from the 629 630 same geographical region to limit the extent of between-population genetic 631 differentiation across the genome. The expected limited differentiation was confirmed by 632 the genome-wide F_{ST} values of <0.05 for all pairwise comparisons (**Table 2**). Further, 633 most of genetic differentiation between the populations was accounted for by 634 chromosome I suggesting it was mainly the result of selection at the isotype-1 β-tubulin 635 locus (Fig. 1B,C). Population U2 appeared to be the most genetically distinct of the four 636 populations if the variation on chromosome I is ignored (Fig. 1C). There are two 637 alternative explanations for this: (i) genuine genetic differentiation of this population 638 with respect to the others, especially given the relative distance of the sampling location 639 of U2 in Sargodha compared with those of T1, T2 and U1 in Lahore (all four located in the 640 Lahore region), or (ii) a technical artifact as a result of generating the RADseq data for

641 this population on a separate sequencing run as a result of initial poor read recovery of 642 that sample. Fragment size selection during library preparation could have affected the 643 recovered markers. However, based on the markers shared across all populations, this is 644 not a significant concern. Whatever the cause, the strength of the signature of selection 645 around the isotype-1 β-tubulin locus was much lower in pairwise F_{ST} comparisons using the U2 than the U1 population (Fig. 2). This illustrates the importance of careful matching 646 647 of parasite populations being compared and controlling of experimental procedures to 648 minimize genome-wide differentiation and so maximize the sensitivity of detection.

649 The signature of selection around isotype-1 β-tubulin could be detected with 650 RADseq data from as few as 5 individual worms per population and as little as two reads 651 per marker when 20 individuals were used (Table 1 and Fig. 4). Based on the data 652 presented here, aiming for a 5-6.5X coverage of 1.1 to 1.4% of the genome after data 653 cleaning should allow detection of strong signatures of selection. The number of markers 654 expected based on the species of interest and enzyme combination can be calculated *in* 655 silico (Lepais and Weir 2014; Mora-Márquez et al. 2017). For expected heterozygosity, 656 the signatures of selection disappear earlier than for F_{ST} ; at less than 15 individuals and 657 between 1 and 0.5M reads (Figs. S7 and S8). An advantage of expected heterozygosity is 658 that populations do not have to be as carefully matched.

Draft reference genome sequences are becoming available for an increasing number of parasitic nematode genomes (50 Helminth Genomes Project, 50HGP; http://www.sanger.ac.uk/science/collaboration/50hgp). However, there are still very few chromosomal-scale reference genome assemblies for these organisms [but see 66]. Consequently, genome-wide analyses in parasitic nematodes are generally undertaken using draft reference genomes with limited contiguity [95–97]. In order to simulate this situation, we mapped the full set of RADseq read data against a previously published *H*.

666 contortus draft reference genome ([62], GCA_000469685.1). Numerous scaffolds with 667 elevated F_{ST} relative to the rest of the genome were identified as showing a signal of 668 selection (Fig. S9). In the absence of positional information for the different genomic 669 scaffolds, this data could be potentially misinterpreted as evidence of multiple signatures 670 of selection being present in the genome when in fact it is due to the hitchhiking effect of 671 a single large selective sweep around the isotype-1 β-tubulin locus. This is an important 672 point to consider when working with draft reference genomes that still have relatively 673 poor contiguity.

674 We also compared the detection of genomic selection signatures using pooled 675 versus single worm RADseq data. We pooled data from individual samples by merging 676 reads from all samples per population into one sample and selecting enough reads for 677 100 x coverage. F_{ST} is high around the isotype-1 β -tubulin gene in comparisons with U1, 678 but it is not significant in comparisons with U2 (Fig. S5). This result indicates the limited 679 power of F_{ST} estimates in pooled populations if there is some population structure 680 present. For expected heterozygosity no evidence of selective sweeps was detected using 681 the pooled data (Fig. S6). The use of pooled data for genome-wide scans can be improved 682 by increasing sample counts [98] but the ability to do this will depend on the number of 683 well-defined isolates and the budget available. Our data provides a framework to balance 684 the relative merits of sequencing a smaller number of worms at low depth from a small 685 number of populations compared with undertaking Poolseq using greater sequencing 686 depth on a greater number of populations.

In summary, this study illustrates the power of genome-wide approaches to identify signatures of selection associated with long term anthelmintic treatment of parasitic nematodes in the field. It has shown the critical importance of minimizing the population structure when selected and unselected populations are being compared and

691 the greater sensitivity achieved by single worm sequencing compared to Poolseq 692 approaches. We have shown that the isotype-1 β-tubulin gene is quantitatively by far the 693 most important benzimidazole resistance locus in the *H. contortus* genome in the two 694 field populations subjected to long term drug treatment. Interestingly however, we have 695 identified two additional loci that likely harbor mutations associated with benzimidazole 696 resistance but are quantitatively of lesser importance than isotype-1 β -tubulin. Further 697 investigation of these regions may reveal new genes associated with benzimidazole 698 resistance.

699

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708 SUPPLEMENTARY MATERIAL

- **S1 File** Sequencing primers
- **S2 File** Genes in regions of interest
- **S1 Fig.** Marker density across the genome
- **S2 Fig.** LD for all populations
- **S3 Fig.** Population differentiation with reducing number of individuals; all populations
- 714 and the whole genome
- **S4 Fig.** Population differentiation with reducing read count; all populations and the
- 716 whole genome
- **S5 Fig.** Population differentiation with pooled data
- **S6 Fig.** Diversity estimates with pooled data
- **S7 Fig.** Diversity estimates with reducing read count
- **S8 Fig.** Diversity estimates with reducing number of individuals
- **S9 Fig.** All pairwise comparisons with the draft genome

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